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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form

2.  Specification [Total Pages 26]  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

3.  Formal Drawings (35 USC 113) [Total Sheets 13]

4.  Oath or Declaration [Total pages \*]  
a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]

i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## Transmittal Form w/o Declaration

Attorney Docket No.	<b>MAM-010</b>	Total Pages	
First named Inventor or Application Identifier	Yasuyuki KUSUMOTO		

**Title of Invention** LITHIUM SECONDARY BATTERY AND POSITIVE ELECTRODE FOR LITHIUM SECONDARY BATTERY

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

6.  Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a.  Computer Readable Copy  
b.  Paper Copy (identical to computer copy)  
c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment papers (cover sheet & document(s))

9.  37 CFR 3.73(b) Statement  
(When there is an assignee)  
     Power of Attorney

10.  English Translation Document (if applicable)

11.  Information Disclosure Statement (IDS) /PTO-1449  
     Copies of IDS Citations (9 docs)

12.  Preliminary Amendment with Version with  
    Markings to Show Changes Made

13.  Return Receipt Postcard (MPEP 503)

14.  Assertion to Entitlement to Small Entity Status  
     Assertion filed in prior application, status  
    still proper and desired

15.  Certified Copy of Priority Document(s)  
     Priority of application No. \* filed on \* in \* is  
    claimed under 35 USC 119.  
     The certified copy has been filed in prior  
    application Serial No. 08/\*.

**OTHER**

17. ☐ Priority of Japanese Patent Application Nos. 2001-19978 and 2001-194983 filed January 29, 2001, and June 27, 2001, respectively, is claimed under 35 USC 119.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation       Divisional       Continuation-in part (CIP)  
of prior application No.: 08/\*

**18. CORRESPONDENCE ADDRESS**

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FILING DATE	January 28, 2002	TEL	202-887-9023	FAX	202-887-9093

## FEE TRANSMITTAL

Note: Effective October 1, 2001

Application Number	Not Assigned
Filing Date	January 28, 2002
First Named Inventor	Yasuyuki KUSUMOTO
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	MAM-010

CLAIMS AS FILED-PART 1			SMALL ENTITY		OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE	RATE	FEE
BASIC FEE (37 CFR 1.16 (a))				\$370.00		\$740.00
TOTAL CLAIMS (37 CFR 1.16 (c))	<u>19</u> - 20 =		\$9.00		\$18.00	\$0.00
INDEPENDENT CLAIMS (37 CFR 1.16 (B))	<u>2</u> - 3 =		\$42.00		\$84.00	\$0.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d))			\$140.00		\$280.00	
			SUB TOTAL		SUB TOTAL	\$740.00
SURCHARGE-LATE FILING FEE OR DECLARATION			\$65.00		\$130.00	
RECORDING ASSIGNMENT			\$40.00		\$40.00	
TOTAL				\$0.00		\$740.00

### METHOD OF PAYMENT

Payment Deferred

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KTK/cfm